

**SAVE THE DATE**  
**Friday October 20, 2017**

## **Loyola University Chicago School of Law**

### **Annual Institute for Investor Protection Roundtable Conference**

## **Corporate Ethics and Compliance in the Era of Re-Deregulation**

The Loyola Institute for Investor Protection is pleased to co-host with the Institute for Law and Economic Policy, its seventh annual conference entitled “Corporate Ethics and Compliance in the Era of Re-Deregulation ” on Friday, October 20, 2017.

The nation’s leading jurists, practitioners, business leaders and scholars will assess the meaning of the new business environment while still emphasizing the market-driven benefits of ethical conduct and compliance, as well as the legal pitfalls facing firms that assume that the new environment means a more promiscuous operating context. In addition, speakers and panelists will discuss and debate whether allowing a more relaxed approach to ethics and compliance issues will lead to large losses in shareholder wealth, reputational damage, regulatory fines, terminations and lawsuits. The role of disclosure under the federal securities laws in terms of material breaches of ethical and legal norms will also be considered.

#### **Program Details:**

8:45 a.m. – 9:00 a.m.

**Registration, Breakfast and Welcome** (13<sup>th</sup> Floor)

9:00 a.m. – 10:00 a.m.

**Special Presentation: *Going Beyond Ethics and Compliance: The Growing Corporate Movement to Embrace Social Value Creation***

	<b>Seth Green</b> , Director, Baumhart Center for Social Enterprise and Responsibility
10:00 a.m. – 10:15 a.m.	<b>Break</b>
10:15 a.m. – 11:30 a.m.	<p><b>Topic 1: In Search of Pragmatic Corporate Ethical Guidelines</b></p> <p><b>Moderator:</b> Marc Gross, Pomerantz</p> <p><b>Panelists:</b></p> <p><b>Bob Chung</b>, Arete Wealth Management</p> <p><b>Kristin Johnson</b>, Seton Hall University School of Law</p> <p><b>Bethany McLean</b>, Journalist and best-selling author</p> <p><b>Ann Olazabal</b>, University of Miami School of Business Administration</p> <p><b>Thomas Rafter</b>, Grant Thornton</p>
11: 30 p.m. – 12:45 p.m.	<b>Special Luncheon Presentation: Aaron Beam</b> , Founder and former CEO of Health-South, Author of <i>Ethics Playbook: Winning Ethically in Business</i>
12:45 p.m. – 2:00 p.m.	<p><b>Topic 2: The Dodd Frank Death Knell</b></p> <p><b>Moderator:</b> Steven Ramirez, Loyola University Chicago School of Law</p> <p><b>Panelists:</b></p> <p><b>Ted Banks</b>, Scharf, Banks Marmor LLC</p> <p><b>Wendy Couture</b>, University of Idaho College of Law</p> <p><b>Howard Suskin</b>, Jenner &amp; Block</p> <p><b>Celia Taylor</b>, Sturm College of Law - University of Denver</p>
2:00 p.m. -2:30 p.m.	<b>Special Presentation:</b> Retired U.S. District Court Judge Shira Scheindlin
2:30 p.m.	<b>Ice Cream Reception</b>

Continuing Legal Education will be offered.  
For more information and to register, visit: [LUC.edu/lawinvestorprotection](http://LUC.edu/lawinvestorprotection).